

# **2022-23** ANNUAL REPORT

#### Credits

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The Judicial Council Annual Report 2022–23 is available on the website at actjudicialcouncil.org.au

### Welcome

#### Chief Justice Lucy McCallum, Head of Council



All complaints received in 2022–23 were from members of the public. The Australian Capital Territory (ACT) Judicial Council (the Council) considers complaints about the behaviour or capacity of a judge of the ACT Supreme Court or a magistrate of the ACT Magistrates Court. The Council receives a small number of complaints from across the community. The Council's complaint-handling role contributes to a fair and accountable judiciary for the ACT.

All complaints received in 2022-23 were from members of the public. No complaints were received from legal practitioners or referred to the Council by the ACT AttorneyGeneral.

I take this opportunity to thank my fellow Council members for their efforts this year, particularly Mr Martin Hockridge, for his dedication to the role of appointed legal practitioner from his appointment in February 2020 until his term ended in January 2023.

In addition, I also wish to acknowledge the work of the Principal Officer, Iain Anderson, and his staff in supporting the Council in the performance of its functions.

### **Council overview**

The Council is established by operation of Part 2A of the *Judicial Commissions Act 1994* (ACT) (the Act) and consists of the Chief Justice of the ACT Supreme Court, the Chief Magistrate of the ACT Magistrates Court, an appointed legal practitioner, and an appointed member of the community. The Council is supported by a Principal Officer. Iain Anderson, who is the Commonwealth and ACT Ombudsman, is the Principal Officer.

The Council can consider complaints about the behaviour and the physical or mental capacity of a judge of the ACT Supreme Court or of a magistrate of the ACT Magistrates Court. The Council cannot consider complaints about presidential members of the ACT Civil and Administrative Tribunal (ACAT). Complaints about ACAT presidential members may be made instead to the Attorney-General.<sup>1</sup>

The Council's functions include:

- receiving complaints about judicial officers
- examining complaints about judicial officers
- referring complaints to the ACT Executive or the relevant head of jurisdiction, and
- responding to enquiries about the complaint process.

The Council met 5 times in 2022-23.

#### **Preliminary examination**

When a complaint is received about an ACT judicial officer, the Council must conduct a preliminary examination. Depending on the outcome of the preliminary examination, the Council may take the following actions:

- early dismissal of the complaint
- refer the complaint to the head of the relevant jurisdiction, or
- proceed to an examination of the complaint by the Council.

The Council is required to conduct its preliminary examinations in private, where possible.

A preliminary examination includes a consideration of:

- information provided by the complainant
- any information provided in response by the judicial officer complained about
- transcripts and audio recordings of the relevant proceedings
- any relevant published judgments or sentences, and
- any other information the Council considers relevant.

<sup>1</sup> Judicial Commissions (Complaints - ACAT Presidential Members) Approved Protocol 2017 (No 1)

#### Early dismissal

After conducting a preliminary examination of a complaint, the Council may dismiss the complaint if it is satisfied of one or more of the following:

- The complaint is frivolous, vexatious or not in good faith.
- The subject matter of the complaint is trivial.
- The matter complained about happened at too remote a time to justify further consideration.
- There is or was another satisfactory means of redress or dealing with the subject matter of the complaint.
- The complaint relates to the exercise of a judicial function or decision that is subject to appeal or review rights.
- The complaint is about a person who is no longer a judicial officer.
- The complaint is one that the Council is not required to deal with.
- Further consideration of the complaint is unnecessary or unjustifiable.

If the Council decides to dismiss a complaint for any of the above reasons, the judicial officer and the complainant will both be told of this outcome.

### Referring a complaint to the head of jurisdiction

If after a preliminary examination, the Council is satisfied a complaint is partly or wholly substantiated, but it does not justify the Council's further attention by examination, the complaint may be referred to the relevant head of jurisdiction. The complainant will be notified of this outcome by staff assisting the Principal Officer. The heads of jurisdiction in the ACT are the Chief Justice of the ACT Supreme Court and the Chief Magistrate of the ACT Magistrates Court, who are also members of the Council. The Council's referral can include recommendations about what steps could be taken to deal with the complaint.

#### **Examination by the Council**

If the Council is satisfied after a preliminary examination that a complaint is partly or wholly substantiated, and it justifies further attention by the Council, the Council will proceed to examine the complaint. In doing so, the Council must consider whether the complaint justifies parliamentary consideration of the removal of the judicial officer.

If the Council concludes that the complaint justifies parliamentary consideration, the Council must make a recommendation to the ACT Executive that it appoint a judicial commission to examine the complaint further.

If the Council considers the complaint does not justify its further consideration or parliamentary consideration, the Council will then refer the matter to the head of the relevant jurisdiction.

The complainant will be advised of the outcome of the examination.

The Council must conduct examinations in private, where possible – like preliminary examinations. The Council must notify the ACT Attorney-General when it starts an examination and of the outcome once it is finalised.

### Lodging a complaint

Anyone can make a complaint to the Council, including members of the public, legal practitioners, and members of organisations such as the Law Society, Bar Association, and Legal Aid. The ACT Attorney-General can also refer complaints to the Council.

Complaints can be made by using the complaint form available on the ACT Judicial Council website or by sending the Council a letter or email.

Complaints must:

- be in writing
- provide the name and address of the person making the complaint
- identify the judicial officer who is the subject of the complaint, and
- provide details about the complaint.

The Principal Officer and staff receive complaints and enquiries on behalf of the Council. When a complaint is received, staff members will tell the relevant judicial officer that a complaint has been made and give them an initial opportunity to comment. Staff will then help the Council to conduct the preliminary examination of the complaint. This includes obtaining and considering relevant transcripts and audio, and any other information, and preparing a report for the Council's consideration.

### **Complaints received**

## In 2022–23, the Council received 11 complaints from 7 individual complainants about 8 ACT judicial officers (additionally, 3 open complaints were carried over from the previous financial year).

This is an increase on the 6 complaints received in 2021–22. All complaints were made to the Council by members of the public. In 2022–23, consistent with previous years, most complaints (9 of 11) were received from self-represented litigants facing difficulties navigating court processes.

Complaints included allegations of bias, failure to give a fair hearing, discourtesy, incorrect application of the law, bullying, and intimidation.

Complaints also included allegations of incorrect decisions. Where these allegations were made, the Council advised the complainant that they may wish to seek legal advice about their appeal options as the Council has no ability to examine the merits of, or change, a judicial officer's decision.

Following a preliminary examination of the complaints, the Council decided to dismiss 8 complaints under s 35B of the Act, which allows for early dismissal of complaints, including 3 complaints carried over from the previous reporting period. Two complaints were withdrawn by the complainant before proceeding to preliminary examination.

At 30 June 2023, the Council had 4 open complaints.

	2021-22	2022-23
Complaints received	6	11
Complaints dismissed	7	8
Complaints withdrawn	0	2
Complaints substantiated	0	0
TOTAL complaints finalised	7	10

#### Table 1: Complaints received and finalised during 2022-23, compared to the previous financial year

### **Enquiries received**

In 2022–23, the Council received 26 enquiries from members of the public, separate to the 11 complaints. This is an increase on the 21 enquiries received in the previous reporting period. Several enquiries related to possible complaints where the person was seeking more information about the process, before deciding not to proceed. Most enquiries related to complaints about aspects of the court process that the Council has no jurisdiction to consider. In these instances, complainants were provided with information about other complaint pathways.

### **Council members**

The Council consists of the ACT Chief Justice, the ACT Chief Magistrate, an appointed legal practitioner, and an appointed member of the community.



#### Chief Justice Lucy McCallum: Chief Justice of the Supreme Court and Head of the Council

On 8 March 2022, Lucy McCallum was sworn in as the sixth Chief Justice of the ACT Supreme Court.

Her Honour attended the University of New South Wales (UNSW) where she graduated in 1986 with a Bachelor of Laws and a Bachelor of Arts, majoring in philosophy. She worked in Sydney as a solicitor in commercial litigation for 18 months at what was then Mallesons Stephen Jaques, before taking a position as a prosecutor in the ACT in 1988. In 1990 her Honour spent a year as a trial advocate with the Queensland Office of the Director of Public Prosecutions. She became a barrister in Sydney in 1991 and took silk in 2005.

In 2008 her Honour was appointed a judge of the Supreme Court of New South Wales in the Common Law Division. She was the Defamation List judge from 2014 to 2018. In 2016 her Honour was appointed Chair of the NSW Judicial Commission Ngara Yura Committee which aims to increase awareness among judicial officers about contemporary Aboriginal and social cultural issues, and their effect on Aboriginal people in the justice system. In February 2019 her Honour was elevated to the New South Wales Court of Appeal where she sat on a wide range of matters until her Honour's appointment as Chief Justice of the Supreme Court of the Australian Capital Territory



#### **Chief Magistrate Lorraine Walker**

Chief Magistrate Lorraine Walker holds a Bachelor of Arts and Laws from the University of Sydney. Her Honour was admitted as a solicitor in NSW in 1987, working briefly as an employed solicitor until joining the Royal Australian Air Force later that year. She served as a legal officer for 3 years in Melbourne and the Northern Territory before relocating to her birthplace – the United Kingdom.

Her Honour was employed by the Crown Prosecution Service as a prosecutor from 1990 to 1996. On returning to Australia, she practised as a solicitor in the ACT for one year before being made a partner in a national law firm. She started at the ACT Bar in 2000.

Her Honour was appointed to the ACT bench in 2010 with a dual commission as Coroner and Magistrate. She was subsequently appointed Chief Coroner and Chief Magistrate in 2011.

In August 2019 her Honour was appointed as an Acting Judge of the Supreme Court for 12 months to establish the ACT's Drug and Alcohol Sentencing list but resumed as Chief Magistrate in April 2020, in light of the impact of COVID-19.



#### Philip Walker SC

Philip Walker SC was appointed to the Council as the legal practitioner representative in February 2023.

Philip was admitted as a barrister and solicitor in 1988. He worked at the ACT Government Solicitor from 1989 until 1998 ultimately as General Counsel. In 1998, he commenced private practice at the Canberra Bar in Blackburn Chambers.

Philip was appointed as Senior Counsel in 2013. He has extensive experience in public and commercial law. He has practical knowledge of both territory and federal legal practice. Philip is also a Military lawyer, with both disciplinary and inquiry experience.

Philip was the ACT Bar Association president from 2010 until 2012.

From 2018 to 2023, Philip has been recognised in Doyle's Guide as a leading Commercial Litigation & Dispute Resolution Barrister in the ACT.



#### Martin Hockridge

Martin Hockridge was appointed to the Council as the legal practitioner representative in February 2020.

Martin was admitted as a lawyer in 1982 and has practised in the ACT for more than 30 years. He was a long time criminal defence lawyer before moving to policy and administration as Deputy Chief Executive Officer at Legal Aid ACT. After leaving Legal Aid, he was president of the ACT Law Society for 3 years.

Martin has been a Director of the Law Council of Australia and is currently a member of the Legal Practitioners Admission Board and continues to be involved in the work of the Law Society. His work interests include access to justice, the criminal law and human rights.

Martin served as the appointed legal practitioner on the Council from February 2020 until January 2023.



#### **Clare Doube**

Clare Doube was appointed to the Council as the community representative in April 2021.

Clare resigned from the Council in April 2022, to run as a candidate to the Senate for the ACT in the May 2022 federal election. Following the outcome of the election, Clare was reappointed to the Council in August 2022.

Clare is a human rights consultant. Much of her work focuses on strategy and evaluation, across varied areas, including social safeguards, criminal justice, civic space, and gender.

Clare has strong connections in the Canberra community, including through her past work as Executive Director of Companion House and through consultancies for ACT community organisations and the ACT Government.

## The Principal Officer and supporting staff

The Council is supported by a Principal Officer. The Principal Officer is Iain Anderson

The Principal Officer and his staff are the point of contact for complainants. They facilitate communication between a complainant and the Council.



#### Iain Anderson, Principal Officer

Iain Anderson commenced his position as the Council's Principal Officer on his commencement as Commonwealth and ACT Ombudsman on 1 August 2022.

Iain undertakes this role as one of his functions as the Commonwealth and ACT Ombudsman.

As a senior public servant with extensive leadership experience and over 31 years of service, lain has developed and implemented transformational change across a wide range of legal and social policy areas, including the Commonwealth civil justice and criminal justice systems, family law, native title, Royal Commissions and Australia's territories.

lain's experience extends across a variety of Commonwealth departments and agencies and across a wide range of legal and social policy areas. lain has worked in 6 different Commonwealth departments and agencies.

lain holds a Bachelor of Economics and a Bachelor of Laws from the University of Sydney.



#### **David Fintan**

David Fintan has assisted the Principal Officer to support the Council since May 2023.

David joined the Office as Senior Assistant Ombudsman in March 2021. David has more than 20 years' experience in the private and public sectors working in policy development, program design and implementation, corporate governance, program and risk management, enabling services, and legal practice.

Before joining the public service, David was a lawyer for 15 years in private firms and in-house government roles, specialising in administrative and public law. David was admitted as a legal practitioner in the ACT in 2001.



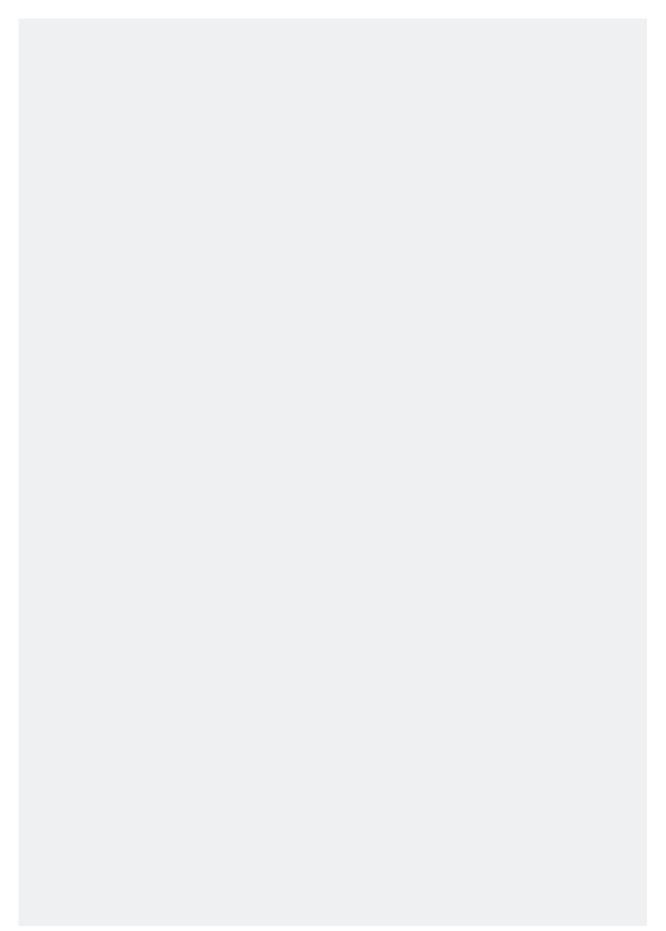
#### **Jennifer Furze**

Jennifer Furze has assisted the Principal Officer to support the Council since February 2020.

Jennifer joined the Office of the Commonwealth Ombudsman in March 2019. She is an Assistant Director in the ACT Reportable Conduct and Freedom of Information team, in addition to her role assisting the Principal Officer.

Jennifer has previously held roles with the Department of Agriculture and Water Resources developing export control legislation, and the AttorneyGeneral's Department working on Australian family law policy and international matters under the Hague Convention on the Civil Aspects of International Child Abduction.

Jennifer was admitted as a solicitor to the Supreme Court of the ACT in 2007.



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